



# U.S. Principle Party in Interest (USPPI) Agreement

**PLEASE PRINT FORM AND WRITE IN BLOCK LETTERS**

To comply with rules and regulations of the US government we must strictly enforce the "No Docs / No Load Policy" for all export shipments. Proper export documentation and cargo must be in our hands by our cut-off times. This will allow us to file the Electronic Export Information (EEI), previously called a Shipper's Export Declaration (SED), 24 hrs prior to an ocean sailing, and 5 hours prior to an air freight departure, when an individual (B/HTS) commodity, to one entity, to be exported the same day exceeds US \$2,500. This will allow us to be in compliance with Federal Guidelines, and ensure our carriers are able to load and report our cargo within these guidelines. US Government agencies have started imposing fines at \$10,000 per violation. Values under US \$ 2,500 require no EEI, and we only need a statement of value, or an invoice to document the value. We do need authorization to file the EEI, if required, from you the USPPI (Shipper) or we can also obtain authorization to file it on behalf of the FPPI (Consignee) which then becomes a Routed Export Transaction (RET) shipment after you, the USPPI, return this completed form.

**Please include priced invoices with all shipments, in an envelope on the outside of the box!**

Choose one of the following:

- We authorize Ezone 2.0 LLC., until further notice, to act on our behalf as our true and lawful agent for the purposes of creating and filing E.E.I. in accordance with the laws and regulations of the United States. We also certify that all necessary and proper documentation will be accurately transmitted/provided, prior to export, to Ezone 2.0 LLC. The USPPI further understands that civil and/or criminal penalties may be imposed for making fraudulent statements or for the violation of any U.S. laws or regulations on exportation and agrees to be bound by all statements of said Authorized Agent based upon information or documentation provided by the USPPI to said Authorized Agent.
- We understand that Ezone 2.0 LLC. will act as the lawful agent for the Foreign Principal Party in Interest (FPPI), for the purposes of creating and filing **ROUTED EXPORT TRANSACTIONS ONLY** in accordance with the laws and regulations of the United States. We also certify that all necessary and proper documentation will be accurately transmitted/provided, prior to export, to the said Authorized Agent. The USPPI further understands that civil and/or criminal penalties may be imposed for making fraudulent statements or for the violation of any U.S. laws or regulations on exportation and agrees to be bound by all statements of said Authorized Agent based upon information or documentation provided by the USPPI to said Authorized Agent.
- We file our own EEI with www.aes.gov and we will have the responsibility to furnish Ezone 2.0 LLC with the ITN prior to loading, and Ezone 2.0 LLC will furnish the Port of Exit & Unloading, Method & Exporting Carrier Details for us the USPPI to complete the AES entry and obtain the required ITN in a timely manner for Ezone 2.0 LLC to Load on scheduled, and provided conveyance.

**USPPI Responsibilities:** (The Shipper - in most cases)

In a Normal Export Transaction, or a Routed Export Transaction (RET) where the Foreign Principal Party in Interest (FPPI) authorizes a U.S. forwarding agent to prepare and file the EEI, the USPPI must still provide the same information & maintain documentation to support and assist the information provided to the forwarding agent for preparing the EEI, if required:

- i. Name and address of the U.S. principal party in interest;
- ii. U.S. principal party in interest's, IRS, TIN, FEID or EIN;
- iii. Point of origin (State or FTZ);
- iv. Schedule B description of commodities;
- v. Domestic (D), foreign (F), or FMS (M) code;
- vi. Schedule B Number; worldwide Harmonized Tariff System (HTS).
- vii. Quantity/unit of measure;
- viii. Value;
- ix. Upon written request from FPPI, the Export Control Classification Number (ECCN) or sufficient technical information to determine the ECCN; and
- x. Any information that it knows will affect the determination of license authority.

Note to above paragraph: For items in (ix) and (x), where the foreign principal party in interest has assumed responsibility for determining and obtaining license authority, the USPPI must, upon request, provide the FPPI and its Authorized Agent with the correct ECCN. In addition, the USPPI must provide the FPPI or the Authorized Agent any information that it knows will affect the determination of license authority. EMO Trans will not be held responsible for determining ECCN and License Authority.

**Authorized Agent Responsibilities:** (Ezone 2.0 LLC)

In a Routed Export Transaction, the Authorized Agent, Ezone 2.0 LLC is responsible for; obtaining this written authorization from the Foreign Principal Party in Interest (FPPI) to prepare and file the EEI on its behalf; preparing, and filing the EEI based on information obtained from the USPPI, FPPI, and/or other parties involved in the transaction; maintaining the documentation to support the information reported on the EEI, and upon written request by the USPPI, provide documentation to the USPPI verifying that the information provided by the USPPI was accurately reported on the EEI. The forwarding agent must provide the following information:

- i. Date of exportation;
- ii. Bill of lading/air waybill number;
- iii. Ultimate consignee; (Obtained from USPPI/FPPI - dependent upon agreement in writing between USPPI & FPPI)
- iv. Intermediate consignee; (Obtained from USPPI/FPPI - dependent upon agreement in writing between USPPI & FPPI)
- v. Forwarding or other agent name and address;
- vi. Country of ultimate destination; (Obtained from USPPI/FPPI - dependent upon agreement in writing between USPPI & FPPI)
- vii. Loading pier;
- viii. Method of transportation;
- ix. Exporting carrier;
- x. Port of export;
- xi. Port of unloading;
- xii. Containerized;
- xiii. Weight;
- xiv. ECCN (Obtained from USPPI/FPPI - dependent upon agreement in writing between USPPI & FPPI);
- xv. License Authority (Obtained from USPPI/FPPI - dependent upon agreement in writing between USPPI & FPPI);

PRINT COMPANY OR D/B/A  
(AS LISTED ON SHIPMENTS):

DATE:

FEI #:

FULL  
ADDRESS:

CITY:

STATE: ZIP:

PRINTED  
NAME:

PHONE:

FAX:

CAPACITY/  
TITLE:

EMAIL:

SIGNATURE:

**PLEASE COMPLETE AND E-MAIL TO INFO@GETEZONE.COM  
OR FAX TO 305.477.0790**

**4411 NW 74 TH AVENUE, MIAMI, FL 33166  
TEL: 305.591.9576**

[www.getezone.com](http://www.getezone.com)